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Dated: July 21, 2005

Signature

Docket No.: 101896-0245

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Nam T. Chao et al.

Application No.: 10/709,019

Filed: April 7, 2004 Art Unit: 3732

For: SPINAL CROSS-CONNECTORS

Examiner: Not Yet Assigned

Confirmation No.: 3018

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A summary/abstract translation of the non-English language reference is enclosed.

A copy of only those references listed below is attached:

For. Doc No.	Ctry	Patentee/Applicant	Publication Date	
EP 1 295 566 A	EP	Surgival Co. SA	March 26, 2003	
FR 2,615,095	FR	Societe de Fabrication de	November 18, 1998	
NON PATENT LITERATURE DOCUMENT				

"Ovation™ Polyaxial System" by Osteotech Inc. (author unknown), description downloaded from http://www.osteotech.com/prodpoly2.htm; pp 1-6; (October 28, 2003)

Application No.: 10/709,019 Docket No.: 101896-0245

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patenability as defined in § 1.56 (b).

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

No fee is believed due. However, the Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 141449, under Order No. 101896-0245. A duplicate copy of this paper is enclosed.

Dated: July 21, 2005

Respectfully submitted,

Lisa J. Michaud

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PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substi	Substitute for form 1449A/B/PTO			Complete if Known		
				Application Number	10/709,019-Conf. #3018	
INI	FORMATION	N DIS	SCLOSURE	Filing Date	April 7, 2004	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Nam T. Chao	
-				Art Unit	3732	
(Use as many sheets as necessary)			necessary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attomey Docket Number	101896-0245	

U.S. PATENT DOCUMENTS					
Examiner	Cian	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Initials*	Cite No. <sup>1</sup>	Number-Kind Code <sup>2</sup> ( if known)			
	AA	US-5,261,907	11-16-1993	Vignaud et al.	
	AB	US-5,437,671	08-01-1995	Lozier et al.	
	AC	US-5,498,263	03-12-1996	DiNello et al.	
	AD	US-5,667,506	09-16-1997	Sutterlin	
	AE	US-5,676,703	10-14-1997	Gelbard	
	AF	US-5,688,272	11-18-1997	Montague et al.	
	AG	US-5,989,251	11-23-1999	Nichols	
	AH	US-6,264,658	07-24-2001	Lee et al.	
	Al	US-6,413,257	07-02-2002	CHIH-I LIN	
	AJ	US-20020007183-A1	01-17-2002	Lee et al.	
	AK	US-20040049190-A1	03-11-2004	Biedermann et al.	

		FOREIG	GN PATENT	DOCUMENTS		
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	1 1 - 1
	BA	EP-EP 1 295 566 A	03-26-2003	Surgival Co. SA		1
	BB	FR-FR 2,615,095	11-18-1998	Societe de Fabrication de Materiel Orthopedique		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS					
Examiner Cite Initials Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			<b>T</b> <sup>2</sup>			
	Ī	"Ovation™ Polyaxial System" by Osteotech Inc. (author unknown), description downloaded from http://www.osteotech.com/prodpoly2.htm; pp 1-6; (October 28, 2003)				

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	 Considered	

<sup>&</sup>lt;sup>3</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.